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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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JC915 U.S. PRO
09/15/2000
12/29/00

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390P10242First Named Inventor Prosenjit GhoshTitle: THERMAL INTERFACE MEDIUMExpress Mail Label No. EL627465477US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 16)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 7)
5. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on.
 - i. CD-ROM or CD-R (2 copies), or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
11. b. Power of Attorney (unsigned)
12. English Translation Document (if applicable)
13. a. Information Disclosure Statement (IDS)/PTO-1449
14. b. Copies of IDS Citations
15. **Preliminary Amendment**
16. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
17. Certified Copy of Priority Document(s) (if foreign priority is claimed)
18. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
19. Other: _____

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
 Of Prior Application No.: _____ Examiner _____ Group Art Unit _____

(which is a continuation/ divisional/ CIP of prior application no. _____,
 which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
 the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
 assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
 (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME John P. Ward (Reg. No. 40,216)

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

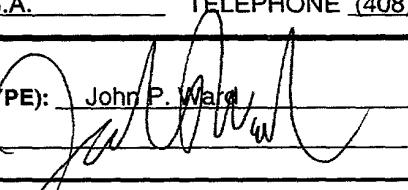
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Name (PRINT/TYPE): John P. Ward

Registration No.: 40,216

Signature: 

Date: 12/29/30

"Express Mail" mailing label number:

EL627465477US

Date of Deposit: December 29, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

Monique M. Pearson

(Typed or printed name of person mailing paper or fee)

12/29/2000
(Signature of person mailing paper or fee)

12/29/2000
(Date signed)

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: December 29, 2000

Client: Intel Corporation

Title: THERMAL INTERFACE MEDIUM

BSTZ File No.: 42390P10

Date Mailed: December 29, 2000

Atty/Secty Initials: JFW/JET/mmp

Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility (16 pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(b) Divisional (____ pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing
- Declaration & POA (5 pgs.) (unstapled)
- Disclosure Docs & Org & Copy of Invention Signed Letter (____ pgs.)
- Drawings: 7 # of sheets includes 12 figures

- Express Mail No.: EL627465477US Check No. 39961
- Month(s) Extension of Time Amt. 854.00
- Information Disclosure Statement & PTO-149 (____ pgs.) Check No. _____
- Issue Fee Transmittal Amt. _____
- Notice of Appeal
- Petition for Extension of Time
- Petition for _____
- Postcard
- Power of Attorney (____ pgs.)
- Preliminary Amendment (____ pgs.)
- Reply Brief (____ pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate
- Fee Transmittal, in duplicate

Other: COPY OF POSTCARD W/ EXPRESS MAIL LABEL

EL627465477US

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 854.00JC915 U.S. PRO
09/751261
12/29/00

Complete if Known:

Application No. Not Yet AssignedFiling Date December 29, 2000First Named Inventor Prosenjit GhoshGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 42390P10242

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	
SUBTOTAL (1) \$			<u>710.00</u>

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>28</u>	$- 20^{**} = 8$	<u>X</u> <u>18.00</u>	$= 144.00$
Independent Claims <u> </u>	$- 3^{**} =$	<u>X</u> <u> </u>	$=$
Multiple Dependent			

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 144.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee		
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify)			
Other fee (specify)			

SUBTOTAL (3) \$ _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John P. Ward
 Signature: John P. Ward Date: 12/29/00
 Reg. Number: 40,216 Telephone Number: (408) 720-8300

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